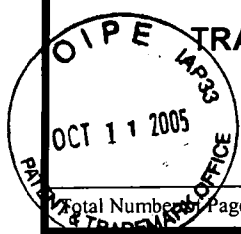
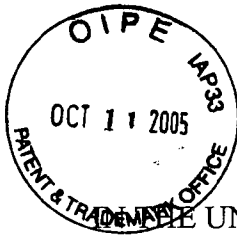


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|--|---|---|
|    | Application Number:   | 10/072,320  |
|  | Filing Date:  | February 7, 2002  |
|  | First Named Inventor:   | Russell Mumper  |
|  | Art Unit:   | 1615  |
|  | Examiner Name:  | Berko, Retford O.   |
| Total Number of Pages in this Submission : _____   |   | Attorney Docket Number: NANO:002USD1  |
| ENCLOSURES (check all that apply)  |   |   |
| <input type="checkbox"/> Fee Transmittal Form<br><input type="checkbox"/> Fee Attached<br><input type="checkbox"/> Amendment/Reply<br><input type="checkbox"/> After Final<br><input type="checkbox"/> Affidavits/declaration(s)<br><input type="checkbox"/> Extension of Time Request<br><input type="checkbox"/> Express Abandonment Request<br><input checked="" type="checkbox"/> Information Disclosure Statement<br><input checked="" type="checkbox"/> Form PTO-1449<br><input checked="" type="checkbox"/> References A3-7, C1-43<br><input type="checkbox"/> Certified Copy of Priority Documents<br><input type="checkbox"/> Reply to Missing Parts/Incomplete Application<br><input type="checkbox"/> Reply to Missing Parts/Requirements<br><input type="checkbox"/> Declaration(s) _____<br><input type="checkbox"/> Copy of Notice of Missing Parts/Requirements | <input type="checkbox"/> Drawings(s) _____<br><input type="checkbox"/> Licensing-related Papers<br><input type="checkbox"/> Petition<br><input type="checkbox"/> Petition to Convert to a Provisional Application<br><input type="checkbox"/> Power of Attorney, Revocation, Change of Correspondence Address<br><input type="checkbox"/> Statement under 37 CFR §3.73(b)<br><input type="checkbox"/> Designation of Patent Practitioners<br><input type="checkbox"/> Terminal Disclaimer<br><input type="checkbox"/> Request for Refund<br><input type="checkbox"/> CD, Number CD(s) _____<br><input type="checkbox"/> Landscape Table on CD | <input type="checkbox"/> After Allowance Communication to TC<br><input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences<br><input type="checkbox"/> Appeal Communication to TC (Appeal Notice, Brief, Reply Brief)<br><input type="checkbox"/> Proprietary Information<br><input type="checkbox"/> Status Letter<br><input checked="" type="checkbox"/> Other Enclosure(s) (please identify below)<br><input checked="" type="checkbox"/> Check in the amount of \$180.00<br><input checked="" type="checkbox"/> Authorized to be charged to deposit account if check insufficient or inadvertently omitted<br>Deposit account number: <u>50-1212/NANO:002USD1</u><br><input type="checkbox"/> Sequence Statement<br><input type="checkbox"/> Paper Copy of Sequence Listing<br><input type="checkbox"/> Computer Readable Form (CRF)<br><input checked="" type="checkbox"/> Postcard<br><input type="checkbox"/> _____<br><input type="checkbox"/> _____ |
| Remarks:   |   |   |
| SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT   |   |   |
| Firm Name  | Fulbright & Jaworski, L.L.P.  | Customer Number 32425   |
| Signature  | <i>Michael C. Barrett</i>   |   |
| Printed Name   | Michael C. Barrett  | Reg. No. 44,523   |
| Date   | October 6, 2005   |   |

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| Signature             | <i>Michael C. Barrett</i> |      |                 |
| Typed or Printed Name | Michael C. Barrett        | Date | October 6, 2005 |



**PATENT**

In re Application of:  
Russell Mumper and Michael Jay

Serial No.: 10/072,320

Filed: February 4, 2002

For: pH-SENSITIVE MUCOADHESIVE FILM-  
FORMING GELS AND WAX-FILM  
COMPOSITES SUITABLE FOR  
TOPICAL AND MUCOSAL DELIVERY  
OF MOLECULES

Group Art Unit: 1615

Examiner: Berko, Retford O.

Atty. Dkt. No.: NANO:002USD1

10/12/2005 HLE333 00000007 10072320

01 FC:1806

180.00 DP

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37 C.F.R. 1.8**

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October 6, 2005

Date

*Michael C. Barrett*

Michael C. Barrett

**SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT**

**MS AMENDMENT**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, Virginia 22313-1450

Sir:

In compliance with the duty of disclosure under 37 C.F.R. § 1.56, it is respectfully requested that this Supplemental Information Disclosure Statement be entered and the documents listed on attached Form PTO-1449 be considered by the Examiner and made of record. Copies of the listed documents required by 37 C.F.R. § 1.98(a)(2) are enclosed for the convenience of the Examiner.

In accordance with 37 C.F.R §§ 1.97(g), (h), this Supplemental Information Disclosure

Statement is not to be construed as a representation that a search has been made, and is not to be  
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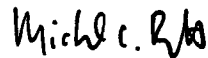
construed to be an admission that the information cited is, or is considered to be, material to patentability as defined in 37 C.F.R. § 1.56(b).

This application is a divisional application of Serial No. 09/748,133, filed December 27, 2000 and is relied upon for an earlier filing date under 35 U.S.C. § 120. In accordance with Rule 37 C.F.R. § 1.98(d) copies of the listed documents are not enclosed as they have been previously cited by or submitted to the Patent and Trademark Office in prior application Serial No. 09/748,133.

A fee as set forth in 37 C.F.R. § 1.17(p) in the amount of \$180.00 is enclosed. If an appropriate check has not been enclosed, or if it is insufficient, the Commissioner is authorized to deduct the appropriate fee from Fulbright & Jaworski Account No.: 50-1212/NANO:002USD1.

Applicants respectfully request that the listed documents be made of record in the present case.

Respectfully submitted,



Michael C. Barrett  
Reg. No. 44,523  
Attorney for Applicants

FULBRIGHT & JAWORSKI L.L.P.  
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Date: October 6, 2005

Form PTO-1449 (modified)

Atty. Docket No.

NANO:002USD1

Serial No.

10/072,320

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INFORMATION DISCLOSURE STATEMENT

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Applicant

Russell Mumper and Michael Jay

Filing Date:

February 7, 2002

Group:

1615

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|-------------|-----------|-----------------|------|---------|-------|-----------|--------------------|
|             |           |                 |      |         |       |           |                    |

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Atty. Docket No.  
NANO:002USD1Serial No.  
10/072,320

List of Patents and Publications for Applicant's

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Russell Mumper and Michael Jay

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Filing Date:

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1615

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U.S. Patent Documents

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Applicant

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Serial No.

10/072,320

Applicant

Russell Mumper and Michael Jay

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Other Art

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## Other Art (Including Author, Title, Date Pertinent Pages, Etc.)

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